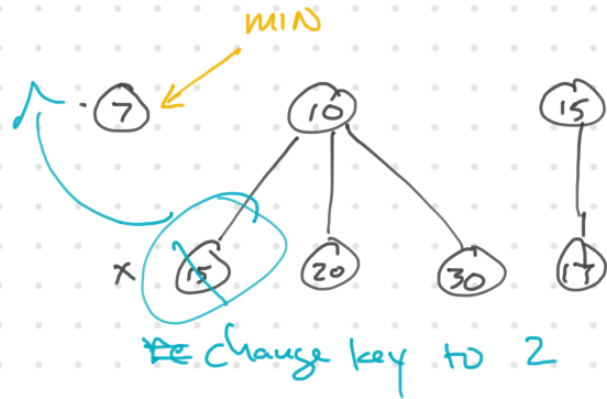
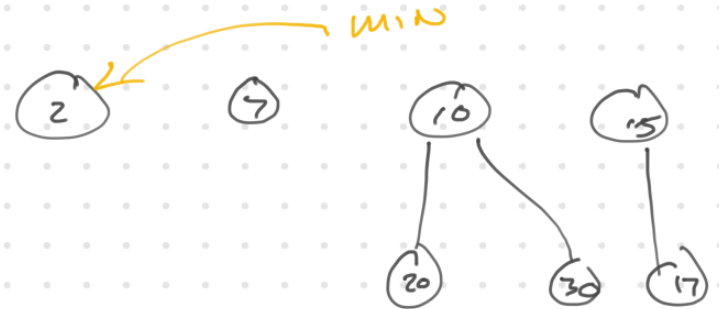


FH

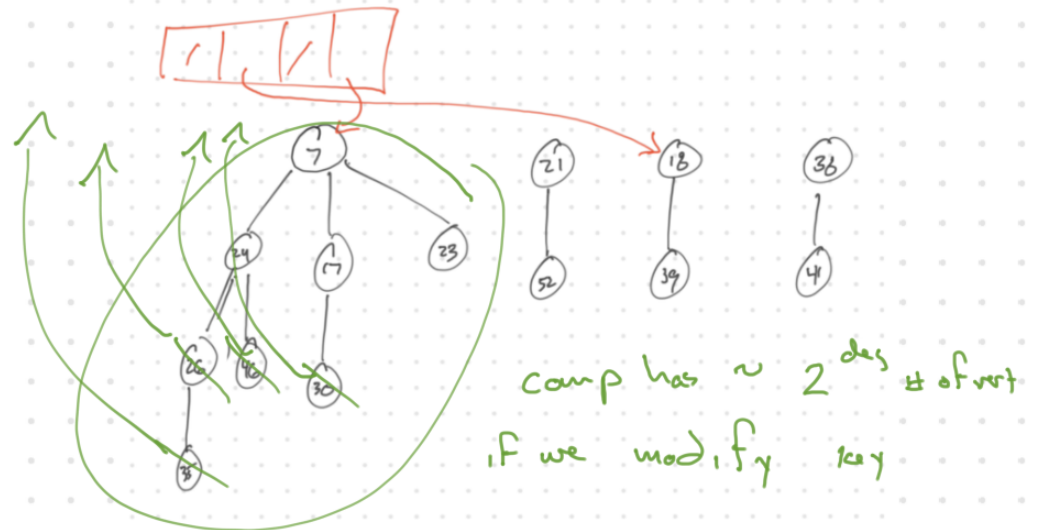
Decreasing a key in FH.



we can delete x from list of children of x -parent & insert x into the list of roots w/ the new key value



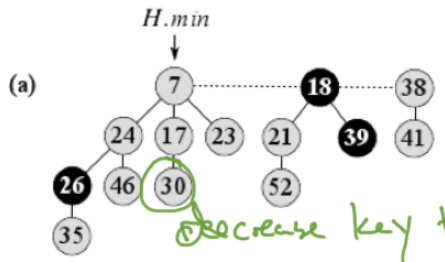
Here is where the marks come into play



what's going on with the marked

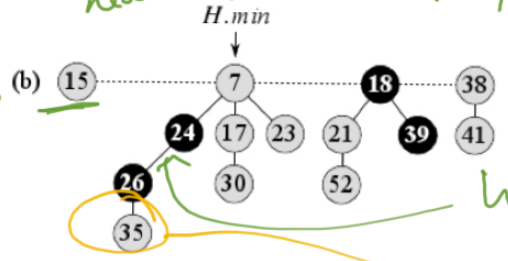
vertices

- at some point, x was inserted (as a root)
marked as ~~F~~ FALSE
- later on, x was made the child of
another node (still marked false)
- still later, children of x are cut
away - we're ok if it happens once,
but at that point, we mark the vertex
to TRUE, & we don't cut away a
neighbor in the future, - Instead, if we
return to the vertex, we move it to
roots & remark it FALSE

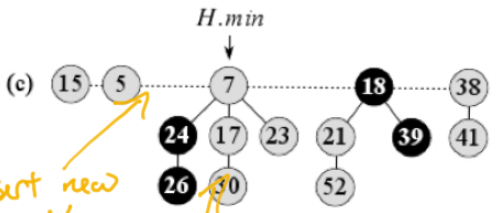


decrease key to 15

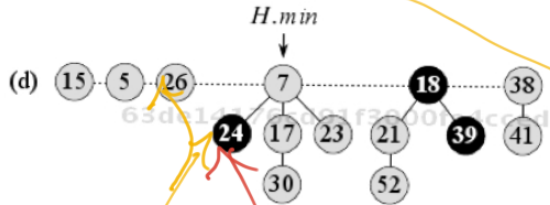
new comp w/ root + key = 15



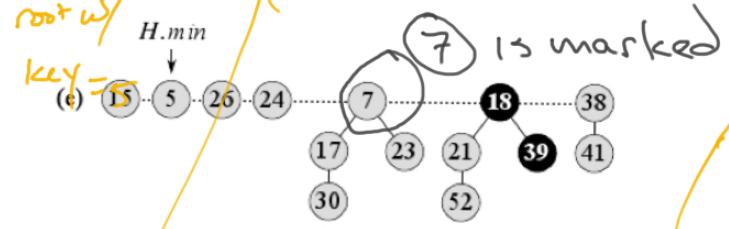
having deleted this node's child, it gets marked



insert new root w/ key = 5



subsequently, we change this node's key to 5



This node has had a child deleted + is marked

26 was marked, so it becomes a root of a new comp + is unmarked

24 is still marked + lost a child, so we move it to be a root by itself

FIB-HEAP-DECREASE-KEY(H, x, k)

```
1 if  $k > x.key$ 
2   error "new key is greater than current key"
3  $x.key = k$ 
4  $y = x.p$ 
5 if  $y \neq \text{NIL}$  and  $x.key < y.key$ 
6   CUT( $H, x, y$ )
7   CASCADING-CUT( $H, y$ )
8 if  $x.key < H.min.key$ 
9    $H.min = x$ 
```

CUT(H, x, y)

```
1 remove  $x$  from the child list of  $y$ , decrementing  $y.degree$ 
2 add  $x$  to the root list of  $H$ 
3  $x.p = \text{NIL}$ 
4  $x.mark = \text{FALSE}$ 
```

CASCADING-CUT(H, y)

```
1  $z = y.p$ 
2 if  $z \neq \text{NIL}$ 
3   if  $y.mark == \text{FALSE}$ 
4      $y.mark = \text{TRUE}$ 
5   else CUT( $H, y, z$ )
6   CASCADING-CUT( $H, z$ )
```

] check we are really reducing key
] update + take the parent y

← at very end, need to check if the min has moved + update accordingly.

] cut node x out of the tree
+ make a new component of H
w/ root x (+ set $mark(x) = \text{FALSE}$)

] take next parent up

if for the first time, we find a node marked FALSE , we change to TRUE + terminate

+ else, we cut the vertex + proceed to its parent.

FIB-HEAP-DECREASE-KEY(H, x, k)

```
1 if  $k > x.key$ 
2   error "new key is greater than current key"
3  $x.key = k$ 
4  $y = x.p$ 
5 if  $y \neq \text{NIL}$  and  $x.key < y.key$ 
6   CUT( $H, x, y$ )
7   CASCADING-CUT( $H, y$ )
8 if  $x.key < H.min.key$ 
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```

CUT(H, x, y)

```
1 remove  $x$  from the child list of  $y$ , decrementing  $y.degree$ 
2 add  $x$  to the root list of  $H$ 
3  $x.p = \text{NIL}$ 
4  $x.mark = \text{FALSE}$ 
```

CASCADING-CUT(H, y)

```
1  $z = y.p$ 
2 if  $z \neq \text{NIL}$ 
3   if  $y.mark == \text{FALSE}$ 
4      $y.mark = \text{TRUE}$ 
5   else CUT( $H, y, z$ )
6     CASCADING-CUT( $H, z$ )
```

amortized complexity

$O(1)$
 $O(1)$
 $\leftarrow O(1)$
 $\} O(1)$
 $\} O(1)$

Conclusion

overall complexity is $O(c)$ where c is # of recursive calls to Cas_Cut

we just need to check how much since at each pass, we do $O(1)$ work, not counting recursive calls
 \Rightarrow if we do c recursive calls, we do $O(c)$ work here

amortized complexity:

$$= O(c) + T(H') + 2M(H') - T(H) - 2M(H)$$

$$= T(H) + c$$

$$M(H) - c + 2$$

because we do one cut in main code + for all but one recursive call ~~to~~ in cas-cuts requires another call to cut

(where H' is new ~~for~~ FH after updating H)

in reality, in order to make this work out, we want $\Phi(H)$

$$= \alpha(T(H) + 2M(H))$$

amortized complexity

$$= O(c) + \cancel{T(H) + c} - 2(\cancel{M(H) - c + 2}) - \cancel{T(H) - 2M(H)}$$

$$= O(c) - \frac{(\alpha-1)}{\alpha}c + 4 = \boxed{O(1)}$$

constant depending on implementation of rewriting pointers

FH_delete (H, x)

FH_DECREASE_KEY ($H, x, -\infty$) $O(1)$

FH_EXTRACT_MIN (H) $O(D(n))$

$D(n)$ is max deg of
a node.

\Rightarrow amortized complexity is $O(D(n)) = O(\log n)$

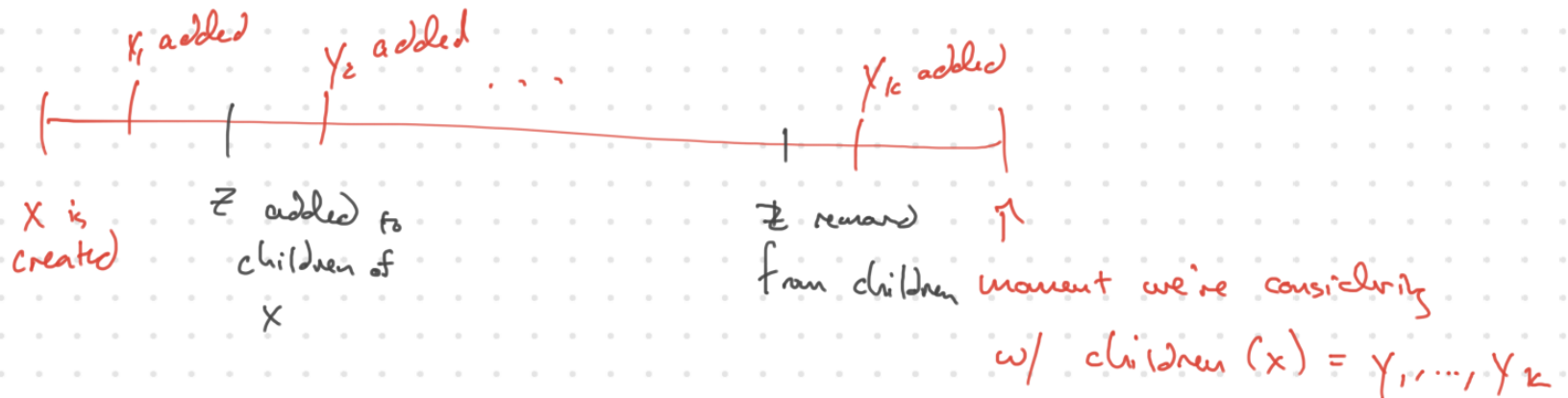
Proof $D(n) = O(\log n)$

Lemma Let x be a node in FH H w/ $x.\text{deg} = k$. Let y_0, y_1, \dots, y_k be ~~neighbors~~ children of x & assume \bullet This is the order in which they were linked to x . Then $y_0.\text{deg} \geq 0$ & $y_i.\text{deg} \geq i-2 \quad \forall i$

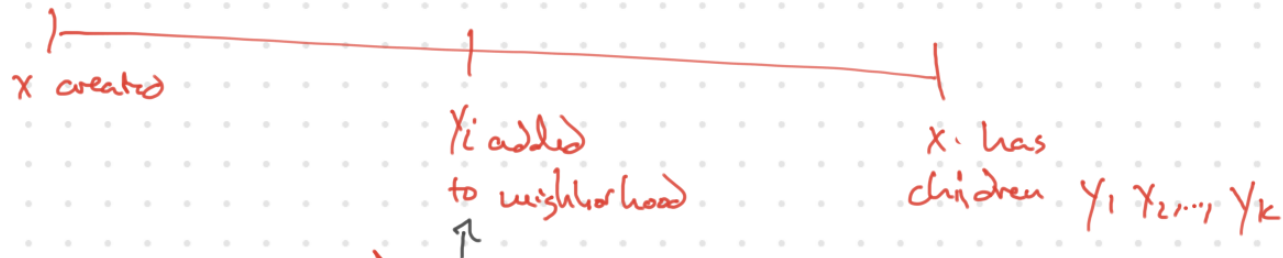
pf $y_i \cdot \text{deg} \geq 0$ ✓

for $i \geq 2$. y_i was attached to x during consolidation & at that moment, deg $x \cdot \text{deg} \geq i-1$ (There were the vertices y_1, y_2, \dots, y_{i-1})
 \Rightarrow at that moment, $y_i \cdot \text{deg} = x \cdot \text{deg} \geq i-1$

Q could we say $x \cdot \text{deg} = i-1$ at that point?



We can't say $x \cdot \text{deg} = i-1$, just $x \cdot \text{deg} \geq i-1$ because there could be children (distinct from y_1, y_2, \dots, y_k (like z)) which are later deleted.



$$y_i \text{ deg} \geq x \text{ deg} \geq i-1$$

what happens here

to $y_i \text{ deg}$ - y_i could lose a child in this time frame, but if it did, it would have been marked & it happened exactly once.

$$\Rightarrow \text{at the end, } y_i \text{ deg} \geq (i-1) - 1 = i-2$$

as claimed.



F_k = Fibonacci #'s F_0 F_1 F_2 F_3 F_4 F_5

1 1 2 3 5 8

$$F_0 = 1$$

$$F_1 = 1$$

$$F_k = F_{k-1} + F_{k-2}$$

Lemma $F_{k+2} = 1 + \sum_{i=0}^{k-1} F_i \quad \forall k \geq 1$

pf induction on k

$$k=1 \rightarrow F_3 = 1 + \sum_{i=0}^1 F_i = 1 + 1 + 1 = 3 \quad \checkmark$$

$$k=2 \rightarrow F_4 = 1 + \sum_{i=0}^2 F_i = 1 + 1 + 1 + 2 = 5 \quad \checkmark$$

assume holds for $k \neq$ correct

$$F_{k+1} = 1 + \sum_{i=0}^k F_i$$

$$F_{k+2} = F_{k+1} + F_k$$

↑ by induction

$$= 1 + \sum_{i=0}^{k-1} F_i$$

$$= 1 + \sum_{i=0}^k F_i \quad \checkmark$$

Lemma $\forall k \geq 0$, $F_{k+1} \geq \phi^k$ where

$$\phi = \frac{1 + \sqrt{5}}{2}$$

pf induction k

$k=0$

$$F_1 \geq \phi^0$$

"

1

$$k=1 \quad F_2 \geq \phi^1 = 1.6 \dots$$

"

2

✓

in general

$$F_{k+2} = F_{k+1} + F_k$$

$$\geq \phi^k + \phi^{k-1}$$

$$= \phi^{k-1} (\phi + 1)$$

cl

$$\phi + 1 = \phi^2$$

$$\frac{1 + \sqrt{5}}{2}$$

$$\left(\frac{1 + \sqrt{5}}{2} \right) \left(\frac{1 + \sqrt{5}}{2} \right)$$

$$= \frac{1}{4} \cdot (1 + 2\sqrt{5} + 5)$$

$$= \frac{1}{4} (6 + 2\sqrt{5})$$

$$= \phi^{k-1} (\phi^2) = \phi^{k+1} \quad \checkmark$$

Lem let x be any node of FH H
 + let $k = x.\text{deg}$ Then size of
 subtree of x (denote it $\text{size}(x)$)
 $\geq F_{k+1} \geq \phi^k$

pf let s_k be the min size
 of a node x w/ $x.\text{deg} = k$

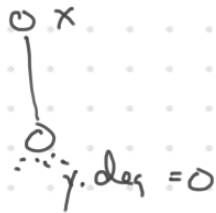
$$s_0 = 1$$

$$s_1 = 2$$

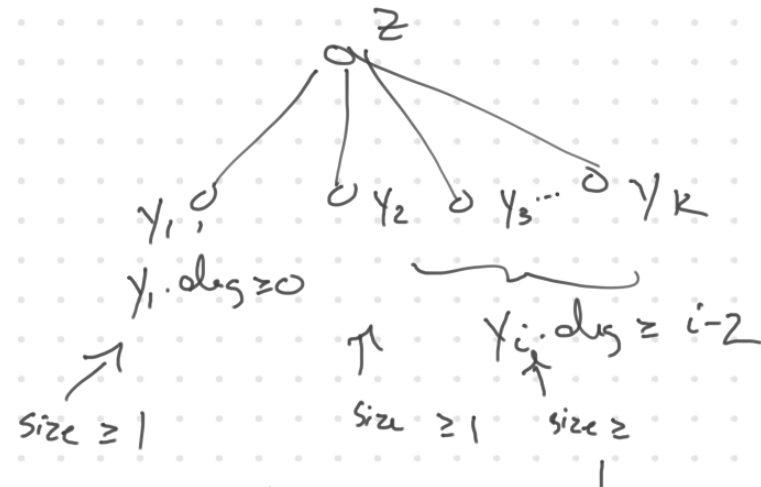
remember s is

a lower bound + will always be ~~at least~~

$$s_1 \geq 2.$$



Consider some node z
~~with~~ with $\text{size}(z) \geq s_k$
 where $k = z.\text{deg}$
 let y_1, \dots, y_k be children of
 z



$$\text{size}(z) \geq 2 + \sum_{i=2}^k s_{y_i.\text{deg}}$$

$$\geq 2 + \sum_{i=2}^k s_{i-2}$$

holds for all

by lower bound we proved on
~~deg~~ γ_i -deg

we want to prove $s_k \geq F_{k+1}$ ($\forall k$)


holds for $k=0, 1$

$k \geq 2$, by induction on k , consider

$$s_k \geq 2 + \sum_{i=2}^k s_{i-2}$$

$$\geq 2 + \sum_{i=0}^{k-2} s_i \geq \sum_{i=0}^{k-1} 2 + \sum_{i=0}^{k-1} F_i = 1 + F_{k+1} \quad \checkmark$$

(by lemma)

Conclusion is $S_k \cong \mathbb{F}_{k+1} \cong \phi^k$ 

ie it's exponential in the deg
+ Therefore ~~$D(n) \leq \lceil \log_2 n \rceil$~~
 $\Rightarrow D(n) = O(\log n)$